



Patent  
Attorney's Docket No. 015290-440

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Rajinder Dhindsa et al.

Application No.: 09/689,845

Filed: October 13, 2000

For: STEPPED UPPER ELECTRODE FOR  
PLASMA PROCESSING UNIFORMITY

Group Art Unit: 1762

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.


- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, P.L.L.P.

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Date: 1-23-01

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In re Patent Application of )  
Rajinder Dhindsa et al. ) Group Art Unit: 1762  
Application No.: 09/689,845 ) Examiner: Unassigned  
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For: STEPPED UPPER ELECTRODE FOR )  
PLASMA PROCESSING UNIFORMITY )

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent No. 6,073,577; Lilleland et al. - June 13, 2000;  
U.S. Patent No. 6,010,636; Donohue et al. - January 14, 2000;  
U.S. Patent No. 5,990,016; Kim et al. - November 23, 1999;  
U.S. Patent No. 5,716,485; Salimian et al. - February 10, 1998;  
U.S. Patent No. 5,714,031; Mundt et al. - February 3, 1998;  
U.S. Patent No. 5,643,394; Maydan et al. - July 1, 1997;  
U.S. Patent No. 5,628,869; Mallon - May 13, 1997;  
U.S. Patent No. 5,571,366; Ishii et al. - November 5, 1996;  
U.S. Patent No. 5,569,356; Lenz et al. - October 29, 1996;  
U.S. Patent No. 5,522,934; Suzuki et al. - June 4, 1996;  
U.S. Patent No. 5,472,565; Mundt et al. - December 5, 1995;  
U.S. Patent No. 5,273,588; Foster et al. - December 29, 1993;  
U.S. Patent No. 5,074,456; Degner et al. - December 24, 1991;

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U.S. Patent No. 4,960,488; Law et al. - October 2, 1990;  
U.S. Patent No. 4,820,371; Rose - April 11, 1989;  
U.S. Patent No. 4,792,378; Rose et al. - December 20, 1988;  
U.S. Patent No. 4,579,618; Celestino et al. - April 1, 1986;  
U.S. Patent No. 4,610,774; Sakata et al. - September 9, 1986;  
U.S. Patent No. 4,297,162; Mundt et al. - October 27, 1981; and  
U.S. Patent No. 4,230,515; Zajac - October 28, 1980.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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